



PATENT  
Attny Dkt No: 10392-46001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: WALLMAN, Steven M.H.

Appl'n No.: 09/038,158

Filing Date: 11 March 1998

For: METHOD AND APPARATUS FOR  
ENABLING SMALLER INVESTORS OR  
OTHERS TO CREATE AND MANAGE  
A PORTFOLIO OF SECURITIES OR  
OTHER ASSETS OR LIABILITIES ON A  
COST EFFECTIVE BASIS

Group Art Unit: 3624

Examiner: BASHORE, Alain L.

**Mail Stop Issue Fee**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


**COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE**

Sir:

In response to the Examiner's statement of reasons for allowance, Applicant respectfully refers to the arguments it has submitted for completeness.

Respectfully submitted,

Dated: July 12, 2005

  
Bradley J. Meier  
(Reg. No. 44,236)

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ART UNIT : 3624 **Batch No. 7974**  
EXAMINER : Alain L. Bashore

**Mail Stop ISSUE FEE - DRAWINGS**  
COMMISSIONER FOR PATENTS  
Customer Service Window  
Randolph Bldg.  
401 Dulany Street  
Alexandria, VA 22314

**Attn.: DRAWING PROCESSING BRANCH**


**SUBMISSION OF FORMAL DRAWINGS**

SIR:

In response to the requirements contained in the notice of allowability mailed 12 April 2005, submitted herewith are thirteen (13) sheets of formal drawings. Please substitute these sheets for the drawings submitted at the time of filing this application.

Respectfully submitted,

Dated: 12 July 2005

  
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